

REVISED Overall DBE Three-Year Goal Methodology

Name of Recipient: Tompkins County
Ithaca-Tompkins International Airport

Goal Period: FY2023-2024 – October 1, 2022 through September 30, 2024

DOT-assisted contract amount:	FY-2023	\$ 6,326,506
	FY-2024	<u>\$ 9,298,300</u>
	Total	\$15,795,905

Overall Three-Year Goal: 1.3%, to be accomplished through 1.3% RC and 0.0% RN

Total dollar amount to be expended on DBEs: \$205,347

Describe the Number and Type of Contracts that the airport anticipates awarding:

Contracts Fiscal Year #2 – 2023

- | | |
|---|-------------|
| 1. Acquire SRE Equipment (Broom / Blower) | \$ 540,000 |
| 2. Rehabilitate Taxiways A, D, E, F, J & K | \$4,401,000 |
| 3. Rehab Term Apron BIL | \$ 384,211 |
| 4. Improve Term Bldg. (Replace Baggage Claim Carousel) BIL | \$ 685,395 |
| 5. Improve Term Bldg. (Curbside Term. Canopy Safety Improvements) BIL | \$ 315,900 |

Contracts Fiscal Year #3 - 2024

- | | |
|---|-------------|
| 1. Acquire ARFF Vehicle & Equipment (Structural Firefighting Vehicle) | \$ 594,000 |
| 2. Rehab RW Lighting & Guidance Signs (Design) | \$ 181,800 |
| 3. Update Master Plan & Pavement Management Plan | \$ 517,500 |
| 4. Construct ARFF Bldg. Phase 1 | \$1,710,000 |
| 5. Construct SRE Bldg. Phase 1 | \$2,565,000 |
| 6. Rehabilitate Terminal Apron (Construction) BIL | \$3,730,000 |

Market Area: The market area is identified as the area in which the substantial majority of the Airport's contractors and subcontractors that seek to do business with the Airport are located **and** the area in which the Airport spends the substantial majority of its contracting dollars. The market area for the airport consist of Broome, Chemung, Jefferson, Monroe, Oneida, Onondaga, Steuben and Tompkins Counties. In the past 5 years, 75% of bidders and 85% of awarded contracts are located within the market area.

Step 1. Actual relative availability of DBEs

The base figure for the relative availability was calculated as follows:

Method: Use DBE Directories <https://nysucp.newnycontracts.com/> and Census Bureau Data from <https://data.census.gov/cedsci/>

Weighted Availability of DBE firms:

For FY-2023, award of the following is anticipated:

Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	Directory	DBE (%)	DBE (\$)
Acquire SRE Equipment (Broom / Blower)	SRE Equipment - Broom Blower	Specialty Equipment Merchants	4E+05	\$540,000	30	0	0.00%	\$0
Total Contract #1				\$540,000			0.00%	\$0
Rehabilitate Taxiways A-K (Construction)	Paving, Mobilization, Traffic Control	Highway, Street & Bridge Construction	2E+05	\$2,730,933	65	1	1.54%	\$42,014
	Electrical Contractors, Lighting,	Electrical Contractors	2E+05	\$91,197	329	5	1.52%	\$1,386
	Site Preparation, Excavation	Site Preparation	2E+05	\$314,460	170	2	1.18%	\$3,700
	Engineering Services/ Inspection	Engineering Services	5E+05	\$990,675	329	1	0.30%	\$3,011
	Survey	Survey & Mapping	5E+05	\$96,435	39	0	0.00%	\$0
	Erosion control/ hydroseeding	Landscaping Services	6E+05	\$177,300	665	0	0.00%	\$0
Total Contract #2				\$4,401,000			1.14%	\$50,111
Rehab Terminal Apron Design (BIL)	Engineering, Pavement Design	Engineering Services	541330	\$344,143	329	1	0.30%	\$1,046
	Survey	Survey & Mapping	541370	\$22,500	39	0	0.00%	\$0
	Testing	Testing Laboratories	541380	\$17,568	47	0	0.00%	\$0
Total Contract #3				\$384,211			0.27%	\$1,046
Improve Term Bldg. (Baggage Claim Carousel) BIL	Mobilization	Highway, Street & Bridge Construction	237310	\$4,500	65	1	1.54%	\$69
	Electrical Contractors	Electrical Contractors	238210	\$9,000	329	5	1.52%	\$137
	Baggage Carousel	Carousel Conveyors	333922	\$585,000	3	0	0.00%	\$0
	Material Suppliers	Material Suppliers	444190	\$22,500	170	0	0.00%	\$0
	Trucking	Trucking	484110	\$9,000	69	12	17.39%	\$1,565
	Engineering	Engineering	541330	\$20,835	329	1	0.30%	\$63

	Administrative & General Construction Mgmt.	Administrative & General Construction Mgmt.	541611	\$39,060	267	2	0.75%	\$293
Total Contract #4				\$685,395			0.31%	\$2,127
Improve Term Bldg. (Canopy Safety Improvements)	Engineering, Civil	Engineering	541330	\$22,500	329	1	0.30%	\$68
	Architectural Services	Architectural Services	541310	\$13,500	86	1	1.16%	\$157
	Airport Building Contractors	Airport Bldg. Contractors	236220	\$144,900	231	6	2.60%	\$3,764
	Material Suppliers	Material Suppliers	444190	\$90,000	170	0	0.00%	\$0
	Construction Equipment Suppliers	Equipment Suppliers	238910	\$22,500	170	0	0.00%	\$0
	Administrative & General Construction Mgmt.	Administrative & General Construction Mgmt.	541611	\$45,000	267	2	0.75%	\$337
Total Contract #5				\$315,900	1253		1.37%	\$4,326
Total FY-2023				\$6,326,506			0.91%	\$57,610

For FY-2024, award of the following is anticipated:

Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	Directory	DBE (%)	DBE (\$)
Acquire ARFF Vehicle & Equipment (Structural Firefighting Vehicle)	ARFF Vehicle	Special Purpose Firefighting vehicle	3E+05	\$594,000	3	0	0.00%	\$0
Total Contract #1				\$594,000	3		0.00%	\$0
Rehab RW Lighting & Guidance Signs (Design)	Engineering Services	Engineering Services	5E+05	\$159,300	329	1	0.30%	\$484
	Survey	Survey & Mapping	5E+05	\$22,500	39	0	0.00%	\$0
Total Contract #2				\$181,800	368		0.27%	\$484
Update Master Plan & Pavement Management Plan	Engineering / Planning Services	Engineering Services	5E+05	\$382,500	329	1	0.30%	\$1,163
	Survey	Survey & Mapping	5E+05	\$67,500	39	0	0.00%	\$0
	Construction Testing	Testing Laboratories	5E+05	\$67,500	47	0	0.00%	\$0
Total Contract #3				\$517,500	415		0.22%	\$1,163
Construct SRE Building Ph 1	Mobilization, traffic control	Highway, Street Bridge Construction	237310	\$54,720	65	1	1.54%	\$842
	Electrical Contractors	Electrical	238210	\$36,000	329	5	1.52%	\$547

	Excavation, Site Prep., Equipment	Site Preparation	238910	\$414,000	170	2	1.18%	\$4,871
	Fencing	Fencing	238990	\$36,000	221	1	0.45%	\$163
	Material Suppliers	Material Suppliers	444190	\$36,000	170	0	0.00%	\$0
	Trucking Services	Local Trucking	484110	\$180,000	69	12	17.39%	\$31,304
	Engineering, Civil, Inspection	Engineering Services	541330	\$919,080	329	1	0.30%	\$2,794
	Landscaping Services, Erosion Control, tree removal.	Landscape Services	561730	\$34,200	665	0	0.00%	\$0
Total Contract #4				\$1,710,000			2.37%	\$40,520
Construct ARFF Building Phase 1	Mobilization, Traffic Control	Highway, Street Bridge Construction	237310	\$82,080	65	1	1.54%	\$1,263
	Electrical Contractors	Electrical	238210	\$54,000	329	5	1.52%	\$821
	Engineering, Civil, Inspection	Engineering Services	238330	\$1,378,620	329	1	0.30%	\$4,190
	Excavation, Site Prep., Equipment Suppliers	Site Preparation	238910	\$621,000	170	2	1.18%	\$7,306
	Fencing	Fencing	238990	\$54,000	221	1	0.45%	\$244
	Material Suppliers	Material Suppliers	444190	\$54,000	170	0	0.00%	\$0
	Trucking Services	Trucking	484110	\$270,000	69	12	17.39%	\$46,957
	Landscaping Services, Erosion Control, Tree removal	Landscape Services	561730	\$51,300	665	0	0.00%	\$0
Total Contract #5				\$2,565,000			2.37%	\$60,781
Rehabilitate Terminal Apron (Construction) BIL	Paving, prep, markings, traffic control, mobilization	Highway, Street Bridge Construction	237310	\$2,530,000	65	1	1.54%	\$38,923
	Excavation, Site Prep., Clearing, Equipment	Site Preparation	238910	\$325,000	170	2	1.18%	\$3,824
	Material Suppliers	Material Suppliers	423320	\$200,000	11	3	27.27%	\$54,545
	Fuel Suppliers	Fuel Suppliers	424720	\$50,000	3	0	0.00%	\$0
	Trucking Services	Local Trucking	484110	\$100,000	69	12	17.39%	\$17,391
	Engineering, Civil &	Engineering Services	541330	\$185,000	329	1	0.30%	\$562

	Administration Services							
	Construction Testing,	Testing Laboratories	541380	\$25,000	47	0	0.00%	\$0
	Admin. & General Construction Mgmt.	Admin & General Construction Mgmt.	541611	\$300,000	267	2	0.75%	\$2,247
	Erosion control/hydroseeding	Landscape Services	561730	\$15,000	665	0	0.00%	\$0
Total Contract #6				\$3,730,000	1626		0.06%	\$2,247
Total FY-2024				\$9,298,300			1.13%	\$105,195

The base goal projection after weighting is as follows:

Total Weighted DBE Availability: \$162,805
Total for All Trades: **\$15,624,806**

Dividing the weighted DBE totals by the total estimate for all trades gives a base DBE availability figure for the projects anticipated during the goal-setting period. This figure is expressed as a percentage and serves as the basis for the three-year overall goal.

Base of DBE Goal: 1.04%

Step 2: Adjustments to Step 1 base figure

After calculating a base figure of the relative availability of DBEs, evidence was examined to determine what, if any, adjustment to the base figure was needed in order to arrive at the overall goal.

Past History Participation

One piece of data used to determine the adjustment to the base figure was the median of historical DBE accomplishments, as follows:

FY	Total Grant \$ Amount	DBE Goals			Accomplishments			Type of work
		RC	RN	Total	RC	RN	Total	
FY 20	\$1,333,595	2.0%	0.0%	2.0%	0.1%	0.0%	0.1%	Rehabilitate TW Lighting, Acquire ARFF, PPE, Install NAVAIDS
FY 19	\$11,383,522	1.9%	0.0%	1.9%	1.9%	2.1 %	4.0%	Terminal Expansion & Rehab; Rehabilitate TW, Acquire SRE
FY 18	\$759,240	1.9%	0.0%	1.9%	2.8%	0.0%	2.8%	Construct ARFF Bldg Design, Rehab Apron, TW, Acquire SRE

<i>FY 17</i>	<i>\$2,421,037</i>	<i>1.9%</i>	<i>0.0%</i>	<i>1.9%</i>	<i>1.5%</i>	<i>0.0%</i>	<i>1.5%</i>	<i>Install Apron Flood lighting, Perimeter Fence, Rehab Apron, Improve Terminal Bldg.</i>
<i>FY 16</i>	<i>\$1,165,500</i>	<i>2.6%</i>	<i>0.0%</i>	<i>2.6%</i>	<i>0.0%</i>	<i>0.0%</i>	<i>0.0%</i>	<i>Install Perimeter Fence, Rehab Apron, Rehab TW, Acquire Friction Measuring Equipment and SRE</i>

Arranging this historical data from low to high, (0.0%, 0.1%, 1.5%, 2.8%, 4.0%) the median is 1.5%.

Step 1 Base averaged with historical median: $(1.0\% + 1.5\%) / 2 = 1.3\%$

To arrive at an overall goal, the Step 1 base figure was added to the Step 2 adjustment figure and the total was averaged, arriving at an overall goal of 1.3%. Tompkins County believes this adjusted goal accurately reflects DBE participation that can be achieved for the type(s) of work being awarded during this three-year period.

Furthermore, there are no applicable disparity studies for the local market area or recent legal case information from the relevant jurisdictions to show evidence of barriers to entry or competitiveness of DBEs in the market area that is sufficient to warrant making an adjustment to the base goal.

Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation.

Tompkins County will meet the maximum feasible portion of the overall goal by using RN means of facilitating DBE participation.

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate participation by DBEs and other small businesses and by making contracts more accessible to small businesses, by means such as those provided under §26.39.
2. Carrying out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists for bidders; ensuring the dissemination to bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate);
3. Ensuring distribution of the DBE directory by electronic means, to the widest feasible universe of potential prime contractors; and
4. Consider unbundling large contracts to make them more accessible to small businesses when possible;
5. Provide instructions and clarification on bid specifications, general bidding requirements, and procurement policies and procedures; and
6. Discuss the DBE goal and DBE requirements at Pre-bid meetings to encourage prime contractors to solicit DBE firms in subcontracting opportunities.

Tompkins County estimates that in meeting the established overall goal of 1.3%, it will obtain 0.0% from RN participation and 1.3% through RC measures.

Tompkins County does not have a history of over-achievement of goals to reference and expects to obtain its DBE participation through the use of DBE contract goals or a conscious effort to obtain DBE participation. Therefore, the entire goal of 1.3% is to be obtained through race-conscious participation.

Tompkins County will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation [see §26.51(f)] and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm’s DBE status in making the award.

PUBLIC PARTICIPATION

Consultation:

In establishing the overall goal, Tompkins County provided for consultation and publication. Tompkins County invited prime contractors, minority, women’s, and general contractor groups, community organizations, and other officials or organizations that could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and Tompkins County’s efforts to establish a

level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process, and was conducted before the goal methodology was submitted to the operating administration for review. Details of the consultation are as follows.

The consultation engaged in was a *video conference on July 7, 2021*.

The following comments were received during the course of the consultation:
No comments were received.

A notice of the proposed goal was published on the Tompkins County official before the methodology was submitted to FAA.

If the proposed goal changes following review by the FAA, the revised goal will be posted on Tompkins County official website.

Notwithstanding paragraph (f)(4) of §26.45, Tompkins County proposed goals will not be implemented until this requirement has been met.

PUBLIC NOTICE

Tompkins County hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of 1.3% for FAA-funded contracts/agreements. The proposed goal pertains to federal fiscal years 2023 through 2024.

Comments on the DBE goal will be accepted for 30 days from the date of this publication and can be sent to the following:

Roxan Noble, Airport Director
Ithaca Tompkins Regional Airport
CFR Building, 72 Brown Road
Ithaca, NY 14850
(607) 279-2662
rnoble@tompkins-co.org

AND

Diane Gillam
DBE/ACDBE Compliance Specialist – Eastern Region
Office of Civil Rights
Federal Aviation Administration
Phone: (305)716-1232
diane.l.gillam@faa.gov